

**LAWYER REFERRAL & INFORMATION SERVICE
of the
San Diego County Bar Association**

Application for **GENERAL/SECURITIES** Panel Membership

Complete this form and return to the LAWYER REFERRAL & INFORMATION SERVICE,
1333 Seventh Avenue, San Diego, California 92101.

FOR OFFICE USE ONLY			
State Bar Date _____	State Bar # _____	Record of Discipline ____YES ____ NO	
SDCBA Member ____ YES ____ NO	Insurance Expiration _____		

(PLEASE TYPE or PRINT BELOW)

(Last Name)	(First Name)	(Initial)	(Telephone)
(Complete Office Address Including Zip Code)			

I, _____ declare that:

1. I have handled to conclusion two (2) or more matters concerning private rights of action for registration violations and/or fraud under federal securities laws before a court or panel. Identification of two such matters is as follows:

Court or Arbitration Panel	Identification of Claims Pursued	Description of Work Performed
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(a)

(b)

OR,

2. I have handled to conclusion two (2) or more matters concerning private rights of action for qualification violations, fraud or blue-sky violations under the California state securities laws before a court or panel. Identification of two such matters is as follows:

Court or Arbitration Panel	Identification of Claims Pursued	Description of Worked Performed
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(a)

(b)

AND,

3. I have handled to conclusion three (3) or more matters against broker-dealers regarding financial advice provided to investors before a court or panel. Identification of three such matters is as follows:

Court or Arbitration Panel	Identification of Claims Pursued	Description of Work Performed
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(a)

(b)

(c)

4. The matters identified above must have involved two of the following types of securities cases. Identification of such matters is as follows:

A. A private offering of securities: _____

B. A public sale of securities: _____

5. Other information which I believe may be relevant: (Optional)

6. Attach exhibits if necessary.

7. Attached are two "Recommendation of Professional Qualification" forms from California lawyers, judges or NASD arbitrators attesting to my qualifications in the area for which I am applying. **These form letters specifically reflect the authors' knowledge of my qualifications and include the writers' opportunity to observe my qualifications in the area for which I am applying.** If these form letters are from lawyers, they are not affiliated with my firm nor do I have any economic interest with them. (Revised 5/00)

8. I am not now nor have I been the subject of any bar association disciplinary proceedings, and I further state that I have never been the subject of suspension or disbarment.

Executed at _____, California, on _____, 20 ____.

I declare under penalty of perjury that the foregoing is true and correct.

(Signature)

Application forms for all other panels are available at the LRIS office,
1333 Seventh Avenue, San Diego, California, 92101. Telephone (619) 231-8585.